

**Annexure – B**

**Investor Complaints Data to be displayed by Stock Brokers**

**Data for September -2022 month ending**

S N	Receive d from	Carried forwar d from previou s month	Receive d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g for less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORE S)	0	0	0	0	0	0	0
3	Stock Exchang es	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	0	0	0	0	0	0	0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	APRIL - 2022	0	0	0	0
2	MAY -2022	0	0	0	0
3	JUNE -2022	0	0	0	0
4	JULY -2022	0	0	0	0
5	AUGUST-2022	0	1	1	0
6	September -2022	0	0	0	0
<b>Grand Total</b>		0	1	1	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	1	1	0
4	2020-21	0	3	3	0
5	2021-22	0	6	6	0
<b>Grand Total</b>		0	10	10	0